



Customer No. 22,852
Attorney Docket No. 08098.0012

Seetor \$ #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dennis MENDIOLA et al.

Serial No.: 09/878,338

Filed: June 12, 2001

For: A TRADING AND AUCTION SYSTEM, AND
METHODS FOR THE AUTHENTICATION OF
BUYERS AND SELLERS AND THE
TRANSMISSION OF TRADING
INSTRUCTIONS IN A TRADING AND
AUCTION SYSTEM

Group Art Unit: 2161

Examiner:

Assistant Commissioner for Patents
Washington, DC 20231

Attention: **BOX MISSING PART**

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice To File Missing Parts dated August 7, 2001,
enclosed is the Declaration and a copy of the Notice To File Missing Parts.

Also enclosed is a check in the amount of \$130.00 to cover the surcharge of
\$130.00. Authorization is hereby given to charge any additional fees to our deposit
account no. 06-0916.

Please associate this Declaration with the application as filed on June 12,
2001, and assigned serial number 09/878,338.

Respectfully submitted,
FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By: *David W. Hill*
David W. Hill
Reg. No. 28,220

Dated: October 9, 2001

Enclosures
DWH/FPD/peg

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/878,338	06/12/2001	Dennis Mendiola	08098.0012

RECEIVED

CONFIRMATION NO. 2153

AUG 08 2001

FORMALITIES LETTER



OC000000006394937

Finnegan, Henderson, Farabow,
Garrett & Dunner, L.L.P.
1300 I Street, N.W.
Washington, DC 20005-3315

FINNEGAN, HENDERSON,
FARABOW, GARRETT & DUNNER, L.L.P.

Date Mailed: 08/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

AUG 09 2001

MAIL

10/10/2001 WABRHAM1 00000086 09878338

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130.00 OP

Docketed 8-8-01 Attorney DWH/USD
Case 8098.0012
Due Date 10-7-01 NEXT
Action DECL POA
By B